



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,733	02/22/2002	Richard L. Lachance	6670/1K338US1

CONFIRMATION NO. 9435

07278
DARBY & DARBY
805 THIRD AVENUE, 27TH FLR.
NEW YORK, NY 10022

FORMALITIES LETTER



OC000000007645206

Date Mailed: 03/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$18.
 - \$18 for 2 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 453.**

*A copy of this notice **MUST** be returned with the reply.*

TS

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/26/2002 BABRAHA1 00000012 10081733

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	18.00 OP



04-25-02

\$MP #3

EXPRESS MAIL CERTIFICATE

Date 4/23/02 Label No. 028721728US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

G KARASZI
Name (Print)

G Karaszi
Signature

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 6670/1K338US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard L. LACHANCE; Andre VOVAN

Serial No.: 10/081,733 Art Unit: 2874

Confirmation No.: 9435

Filed: February 22, 2002 Examiner: N/A

For: FINE-TUNING ASSEMBLY FOR OPTICAL GRATINGS

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

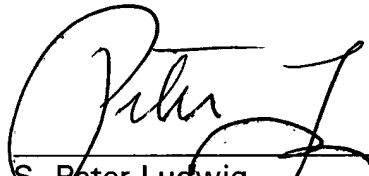
The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 493, (\$ 388 filing; \$ 40 recording; \$ 65 late) in the form of:
[X] check
[] deposit account no. 04-0100
[] credit card (see attached form)
(See attached Fee Computation Sheet)

3. [X] Assignment for recording to: Teraxion Inc.
4. [X] Applicant claims small entity status.
5. A copy of Notice to File Missing Parts of Application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted, .


S. Peter Ludwig
Reg. No. 25,351
Attorney for Applicant(s)

Dated: April 23, 2002

DARBY & DARBY P.C.
Post Office Box 5257
New York, NY 10150-5257
212-527-7700



Docket No.: 6670/1K338US1 ^{H3}

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$740.00
Total Claims	22 - 20	- 0 = 2	x \$18.00	\$36.00
Independent Claims	2 - 3	- 0 = 0	x \$84.00	\$0.00
Multiple Dependent Claims		- if so, add	\$280.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$130.00
SUBTOTAL				\$906.00
[X] Small Entity REDUCTION (Half of Subtotal)				\$453.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL				\$493.00